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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/779,431

Filing Date

February 13, 2004

First Named Inventor

Dick et al.

Art Unit

2136

Examiner Name

Brandon S. Hoffman

Attorney Docket Number

I-2-0332.2US

**ENCLOSURES (Check all that apply)**

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Change of Correspondence Address                 | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                      | <input type="checkbox"/> Terminal Disclaimer                              | Form PTO-1449 (8 pgs. with copies of fourteen (14) references).                         |
| <input checked="" type="checkbox"/> Information Disclosure Statement      | <input type="checkbox"/> Request for Refund                               |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)           | <input type="checkbox"/> CD, Number of CD(s) _____                        |   |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application   | <input type="checkbox"/> Landscape Table on CD                            |   |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Volpe and Koenig, P.C.

Signature

Printed name

John C. Donch, Jr.

Date

July 24, 2007

Reg. No.

43,593

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature

Typed or printed name

John C. Donch, Jr.

Date

July 24, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Dick et al.

**Application No.:** 10/779,431

**Confirmation No.:** 8759

**Filed:** February 13, 2004

**For:** GENERATION OF USER EQUIPMENT  
IDENTIFICATION SPECIFIC  
SCRAMBLING CODE FOR THE HIGH  
SPEED SHARED CONTROL CHANNEL

**Our File:** I-2-0332.2US

**Date:** July 24, 2007

**Group:** 2136

**Examiner:** Brandon S. Hoffman

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Further to Applicants' Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicants wish to bring to the Examiner's attention the material cited on the enclosed Form PTO-1449.

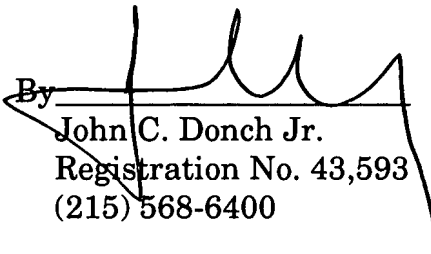
The listed references include references previously cited in this application. Newly cited documents are indicated by an asterisk (\*) on the enclosed Form PTO-1449. Copies of the newly cited documents are enclosed. Pursuant to 37 C.F.R. §1.98(a)(2)(ii), copies of the newly cited U.S. publications and/or patent documents have not been included.

**Applicant: Dick et al.**  
**Application No.: 10/779,431**

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the Form PTO-1449 indicating his consideration of the cited materials.

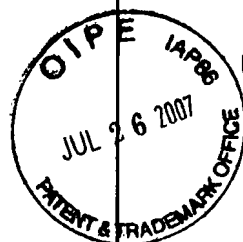
Respectfully submitted,

Dick et al.

By   
John C. Donch Jr.  
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United Plaza, Suite 1600  
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JCD/lis  
Enclosures (15)



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
I-2-0332.2USSERIAL NO.  
10/779,431APPLICANT  
Dick et al.FILING DATE  
February 13, 2004GROUP  
2137

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,569,956	03/1971	T.O. Paine Acting Administrator of the National Aeronautics ...			
		5,671,218	09/1997	I et al.			
		5,799,154	08/1998	Kuriyan			
		6,014,567	01/2000	Budka			
		6,201,811	03/2001	Larsson et al.			
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		6,493,331	12/2002	Walton et al.			
	*	6,539,047	03/2003	Moon, Hi-Chan <sup>10</sup>			
		6,567,461	05/2003	Moon et al.			
		6,731,618	05/2004	Chung et al.			
		6,871,313	03/2005	Gopalakrishnan, Nandu			
		6,915,473	07/2005	Bolourchi et al.			
		6,917,603	07/2005	Strawczynski et al.			
	*	6,983,412	01/2006	Fukumasa <sup>7</sup>			
		7,058,132	06/2006	Sebire et al.			
		7,162,675	01/2007	Das et al.			
		2002/0018565	02/2002	Luttrell et al.			
		2002/0044542	04/2002	Kim et al.			
		2002/0075964	06/2002	Okumura, Yukihiro			
		2002/0075838	06/2002	Choi et al.			
		2002/0114268	08/2002	Kim et al.			
	*	2003/0005388	01/2003	Fukumasa			
		2003/0048800	03/2003	Kilfoyle et al.			

EXAMINER

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	APPLICANT Dick et al.	
	FILING DATE February 13, 2004	GROUP 2137

		2003/0074476	04/2003	Kim et al.			
		2003/0189918	10/2003	Das et al.			
		2005/0063347	03/2005	Sarkkinen et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	*	2 228 714	01/1998	CA <sup>9</sup>				
		2 259 226	03/1993	GB				
	*	02291737	12/1990	JP			X**	
	*	2001 0063271	07/2001	KR			X**	
		2003 0080306	10/2003	KR				x
	*	97/16899	05/1997	WO <sup>8</sup>				
	*	99/07076	02/1999	WO <sup>1</sup>				
	*	99/18689	04/1999	WO <sup>2</sup>				
	*	99/33271	07/1999	WO <sup>3</sup>				
	*	00/41316	07/2000	WO <sup>4</sup>				
	*	01/06662	01/2001	WO			X**	
	*	02/05445	01/2002	WO <sup>5</sup>				
	*	03/065618	08/2003	WO <sup>6</sup>				

## OTHER DOCUMENTS

EXAMINER INITIAL	DESCRIPTION (Including Author, Title, Date, Pertinent Pages, Etc.)
	InterDigital Comm. Corp., "16 bit UE ID Based UE Specific Masking for HS-SCCH," Discussion and Decision, 3GPP TSG RAN WG1#26, Kyung-Ju, Korea, May 13-17, 2002.
	Siemens, "Simplified UE-ID Masking of HS-SCCH, Part 1," Discussion and Decision, Tdoc R1-02-0914, TSG-RAN Working Group 1 #27, Oulu, Finland, July 2-5, 2002.

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<p>FORM PTO-1449</p> <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use several sheets if necessary)</p>	<p>ATTY. DOCKET NO. I-2-0332.2US</p>	<p>SERIAL NO. 10/779,431</p>
	<p>APPLICANT Dick et al.</p>	
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		InterDigital Comm. Corp., "16 bit UE ID based UE Specific Masking for HS-SCCH: Updated for consistency with new Rate matching for HS-SCCH," Discussion and Decision, 3GPP TSG RAN WG1#27, Olou, Finland, July 2-5, 2002.
		"3rd Generation Partnership Project: Technical Specification Group Radio Access Network; Multiplexing and channel coding (FDD) (Release 5)," 3GPP TS 25.212 v5. 1.0-DRAFT, June 2002.
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		Lucent Technologies, "Pre-coding of UE ID before Modulo 2 addition with CRC," 3GPP TSG-RAN WG1#25, Paris, France, April 2002, R1-02-0555.
		Nokia, "Channel coding and error detection for HS-SCCH," TSG-RAN WG1 #23, 3GPP, January 2002, R1-02-0016.
		InterDigital Comm. Corp., "16 bit UE ID Based UE Specific Masking for HS-SCCH," 3GPP TSG RAN WG1#26, R1-02-0715, Kyung-Ju, Korea, May 13-17, 2002.
		3GPP TR 25.858, "Technical Specification Group Radio Access Network; High Speed Downlink Packet Access; Physical Layer Aspects," 3rd Generation Partnership Project; V5.0.0, 3GPP, (Release 5) March 2002, pp 1- 31.
		Motorola, TSG RAN WG1 Meeting #24, "Performance of the HS-SCCH", <a href="http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_Docs/Zips/R1-02-0610.zip">http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_Docs/Zips/R1-02-0610.zip</a> , April 2002.
		"3rd Generation Partnership Project; Technical Specification Group Radio Access Network, Multiplexing and Channel Coding (FDD) (Release 5)", 3GPP TS 25.212 V5.0.0, March 2002.
		Siemens, "UE Specific Bit Scrambling for TDD HS-SCCH", 3GPP TSG RAN WG1 Meeting #25, Tdoc R1-02-0400, February 9-12, 2002
		Siemens, "Coding and Rate Matching for HS-SCCH", TSG RAN WG1 Meeting #25, Tdoc R1-02-0604, Paris, France, April 9-12, 2002
		Siemens, "Rate Matching and Channel Coding for HS-SCCH", 3GPP TSG-RAN1 Meeting #25 R1-02-0605, Paris France, April 9-12, 2002
		Siemens, "Improved HS-SCCH Detection Performance", TSG-RAN WG1 Meeting #25, Tdoc R1-02-0608, Paris France, April 9-12, 2002

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	APPLICANT Dick et al.	
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		Siemens, "UE Specific Bit Scrambling for TDD HS-SCCH", 3GPP TSG-Ran WG1 Meeting #26, Tdoc R1-02-0739, Gyeongju, Korea, May 13-16, 2002
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		Siemens, "Revision of UE Specific Masking for HS-SCCH", 3GPP TSG-RAN WG1 Meeting #28, Tdoc 0783, Gyeongju, Korea, May 13-16, 2002
		Siemens, "Revisions of UE Specific Masking for HS-SCCH", 3GPP TSG-RAN WG1 Meeting #28, Tdoc R1-02-0934, Gyeongju, Korea, May 13-16, 2002
		LG Electronics, "UE-Specific Scrambling Code (USSC) for HS-SCCH: USSC based on a scrambling code with time-varying property", Tdoc R1-02-0541, TSG-RAN WG1 Meeting #26, Gyeongju, Korea, May 13-16, 2002
		LG Electronics, "On User-Specific Scrambling Code for the Part 1 of HS-SCCH", Tdoc R1-02-0542, TSG-RAN WG1 Meeting #25, April 9-12, 2002
		LG Electronics, "User-Specific Masking Codes for HS-SCCH", Tdoc R1-02-0543, 3GPP TSG-RAN WG1 Meeting #25, Paris, France, April 9-12, 2002
		LG Electronics, "On the Criterion for UE Specific Scrambling Code", TSGR1#26-02-0771, 3GPP TSG-RAN WG1 Meeting #26, Gyeongju, Korea, May 13-17, 2002
		InterDigital Comm. Corp., "Discussion on UE Specific Masking for HS-SCCH," 3GPP TSG RAN WG1#26, R1-02-0716, Gyeongju, Korea, May 13-17, 2002.
		InterDigital Comm. Corp., "UE Specific Masking for HS-SCCH, part 1" 3GPP TSG RAN WG1#26, R1-02-0717, Gyeongju, Korea, May 13-17, 2002.
		InterDigital Comm. Corp., "UE Specific Masking for HS-SCCH part 1," 3GPP TSG RAN WG1#26, R1-02-0821, Gyeongju, Korea, May 13-17, 2002.
		InterDigital Comm. Corp., "UE Specific Masking for HS-SCCH part 1," 3GPP TSG RAN WG1#26, R1-02-0830, Gyeongju, Korea, May 13-17, 2002.
		InterDigital Comm. Corp., "UE Specific Masking for HS-SCCH part 1," 3GPP TSG RAN WG1#26, R1-02-0843, Gyeongju, Korea, May 13-17, 2002.

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		InterDigital Comm. Corp., "UE Specific Masking for HS-SCCH part 1," 3GPP TSG RAN WG1#26, R1-02-0850, Gyeongju, Korea, May 13-17, 2002.
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		InterDigital Comm. Corp., "Correction to UE specific matching for HS-SCCH part 1," 3GPP TSG RAN WG1#27, R1-02-0941, Oulu, Finland, July 2-5, 2002.
		Lucent Technologies, "Performance of the HS-SCCH", 3GPP TSG-RAN WG1#25, R1-02-0649, Paris France, April 9-12, 2002
		Lucent Technologies, "Comparison of Detection Schemes for the HS-SCCH", 3GPP TSG-RAN WG1#25, R1-02-0699, Gyeongju, Korea, May 13-16, 2002
		Lucent Technologies, "False Alarm Performance of various UE ID Coding, Scrambling and CRC schemes", 3GPP TSG-RAN WG1#26, R1-02-0723, Gyeongju, Korea, May 13-16, 2002
		Lucent Technologies, "Further Results on SCCH Detection with Parity Bits", R1-02-0903, 3GPP TSG-RAN WG1#27, Oulu, Finland, July 2-4, 2002
		Lucent Technologies, "UE procedures (HS-SCCH) to support HSDPA enhancements", R1-02-0904, 3GPP TSG-RAN WG1#27, Oulu, Finland, July 2-4, 2002
		Motorola, "Performance of the HS-SCCH with different code rates", R1-02-0718, TSG RAN WG1 Meeting #26, Gyeongju, Korea, May 13-16, 2002
		NEC, "Coding for HS-SCCH", R-1-02-0964, 3GPP TSG-RAN WG1 Meeting #27, Oulu, Finland, July 2-5, 2002
		NEC, "Coding for HS-SCCH", R-1-02-1121, 3GPP TSG-RAN WG1 Meeting #28, Seattle, Washington, August 19-22, 2002

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	APPLICANT Dick et al.	
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		Panasonic, "Removal of code mapping description over HS-SCCH", Tdoc R1(02)0774, 3GPP TSG-RAN WG1 Meeting #25, Paris France, April 9-12, 2002
		Texas Instruments, "HS-SCCH: Performance results and improved structure", R1-02-0637, 3GPP TSG RAN WG1 Meeting #25, Paris, France, April 9-12, 2002
		"3rd Generation Partnership Project: Technical Specification Group Radio Access Network; Multiplexing and Channel Coding (FDD) (Release 5)," 3GPP TS 25.212 v5. 0.0 (2002-03)
		Motorola, "Way Forward on HS-SCCH," TSG RAN WG1 Meeting #24, R1-02-0463, Orlando, Florida, February 18-22, 2002
		Siemens, "UE Specific Masking for HS-SCCH," TSG RAN WG1 Meeting #24, R1-02-0482, Orlando, Florida, February 18-22, 2002
		Motorola, "Text Proposal for HS-DOC," TSG-RAN Working Group 1 #24, Tdoc R1-02-0490, Orlando, Florida, February 18-22, 2002
		Siemens, "Improved UE Specific CRC Generation," 3GPP TSG-RAN WG1#25, Tdoc R1-02-0493, Paris, France, February 1-12, 2002
		Lucent Technologies, "Performance of the HS-SCCH," 3GPP TSG-RAN WG1#25, R1-02-0529, Paris, France, April 9-12, 2002
		Texas Instruments, "HS-SCCH: Performance Results and Improved Structure," 3GPP TSG RAN WG1 Meeting #25, R1-02-0535, Paris, France, April 9-12, 2002
		InterDigital Communication Corporation, "Simplified Illustration of the Performance Benefit of US Dependent CRC," TSG-RAN Working Group 1 #21, R1-01-0972, Torino, Italy, August 27-31, 2001
		InterDigital Communication Corporation, "Implicit UE Identification for HSDPA Downlink Signaling," TSG-RAN Working Group 1 #22, R1-01-0810, Torino, Italy, August 27-31, 2001
		Lucent Technologies, "Comparison of Schemes for UE Specific CRC," 3GPP TSG-RAN WG1#23, R1-01-0076, Espoo, Finland, January 8-11, 2002
		Lucent Technologies, "Comparison of Schemes for UE Specific CRC," 3GPP TSG-RAN WG1 #24, R-02-0416, Orlando, Florida, February 18-22, 2002

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		"3rd Generation Partnership Project: Technical Specification Group Radio Access Network; High Speed Downlink Packet Access: Physical Layer Aspects (Release 5)," 3GPP TR 25.858 V5.0.0 (2002-03)
		"Draft Minutes of WG1 #21 Meeting," TSG- RAN Working Group 1 Meeting No. 22, TSGR1-01-0990, New York, New York, October 23-26
		"Draft Minutes of WG1 #22 Meeting," TSG-RAN Working Group 1 Meeting No. 23, TSGR1-01-1354, Espoo, Finland, January 8-11
		"Revised Minutes of TSG RAN WG1 #23 Meeting," TSG-RAN Working Group 1 Meeting No. 24, TSGR1-02-0356, Orlando, Florida, February 18-22
		"Revised Minutes of TSG-RAN WG1 #24," TSG-RAN Working Group 1 Meeting No. 25, TSGR1-02-0575, Paris, France, April 9-12
		"Revised Minutes of TSG RAN WG1 #25 Meeting," TSG-RAN Working Group 1 Meeting No. 25, TSGR1-02-0791,
		"3rd Generation Partnership Project; Technical Specification Group Radio Access Network; High Speed Downlink Packet Access (HSDPA); Overall Description; Stage 2 (Release 5)," 3GPP TS 25.308 v5.2.0 (2002-03) Technical Specification
		ETSI TS 125 212 v5.0.0 (2002-03) Technical Specification, "Universal Mobile Telecommunications System (UMTS); Multiplexing and Channel Coding (FDD) (3GPP TS 25.212 version 5.0.0 Release 5)" (European Telecommunication Standards Institute, 2002).
		"3rd Generation Partnership Project; Technical Specification Group Radio Access Network; High Speed Downlink Packet Access; Physical Layer Aspects (Release 5)," 3GPP TR 25.858 v1.0.0 (2001-12) Technical Report
		"3rd Generation Partnership Project; Technical Specification Group Radio Access Network; Multiplexing and channel coding (TDD) (Release 4)," 3GPP TS 25.222 v4.2.0 (2001-12) Technical Specification
		"3rd Generation Partnership Project; Technical Specification Group Radio Access Network; Multiplexing and channel coding (TDD) (Release 5)," 3GPP TR 25.222 v5.2.0 (2002-09) Technical Specification
		Public Version Of Respondents Samsung Electronics America, Inc.'s and Samsung Telecommunications America LLC's Response to the Complaint and Notice of Investigation, In the Matter of Certain 3G Wideband Code Division Multiple Access (WCDMA) Handsets And Components Thereof, Investigation No. 337-TA-601, May 31, 2007.
	*	Minn, T. et al. "Dynamic Assignment of Orthogonal Variable-Spreading-Factor Codes in W-CDMA", IEEE Journal on selected Areas in Communications, Vol. 18, No. 8, August 2000.

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	*	Cheng, R. et al. "OVSF Code Channel Assignment for IMT-2000", Vehicular Technology Conference Proceedings, 2000. VTC 2000-Spring Tokyo, 2000.
	*	Chen, W. et al. "A novel code assignment scheme for W-CDMA systems", Vehicular Technology Conference, 2001. VTC 2001 Fall. IEEE VTS 54 <sup>th</sup> .

**\*\* Abstract Only**

<sup>1</sup> Corresponds to RU 2193276; <sup>2</sup> Corresponds to RU 2000111545; <sup>3</sup> Corresponds to RU 2212770;

<sup>4</sup> Corresponds to RU 2214677; <sup>5</sup> Corresponds to RU 2003100083; <sup>6</sup> Corresponds to RU 2004123213;

<sup>7</sup> Corresponds to WO 01/43292; <sup>8</sup> Corresponds to CN 1202286; <sup>9</sup> Corresponds to CN 1197337;

<sup>10</sup> Corresponds to KR 20000009140;

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.